



16238  
Attorney's Docket No.: 13764-003001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Keith et al.

Art Unit : 1623

Serial No. : 10/024,701

Examiner : Elli Peselev

Filed : December 17, 2001

Title : METHODS FOR PREPARING PURIFIED DAPTO MYCIN

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. A copy of a communication (International Search Report) from a foreign patent office in a counterpart application (PCT/US01/48887) is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 2/26/04

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature

Cassandra Beepot

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| Substitute Form PTO-1449<br>(Modified)                                                                             |  | U.S. Department of Commerce<br>Patent and Trademark Office                                                         | Attorney's Docket No.<br>13764-003001 | Application No.<br>10/024,701 |
| <b>Information Disclosure Statement</b><br><b>by Applicant</b><br><small>(Use several sheets if necessary)</small> |  | <small>RECEIVED<br/>MAY 1 2004<br/>U.S. PATENT AND TRADEMARK OFFICE<br/>O P E</small><br>Applicant<br>Keith et al. |                                       |                               |
| (37 CFR §1.98(b))                                                                                                  |  | Filing Date<br>December 17, 2001                                                                                   | Group Art Unit<br>1623                |                               |

| U.S. Patent Documents |           |                   |                  |                  |       |          |                            |
|-----------------------|-----------|-------------------|------------------|------------------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number   | Publication Date | Patentee         | Class | Subclass | Filing Date If Appropriate |
|                       | AA        | 4,439,425         | 03/27/84         | Tarcsay et al.   |       |          |                            |
|                       | AB        | 4,537,717         | 08/27/85         | Abbott et al.    |       |          |                            |
|                       | AC        | 4,600,526         | 07/15/86         | Gallot et al.    |       |          |                            |
|                       | AD        | 4,874,843         | 10/17/89         | Baker            |       |          |                            |
|                       | AE        | 4,885,243         | 12/05/89         | Huber et al.     |       |          |                            |
|                       | AF        | 4,994,270         | 02/19/91         | Boeck et al.     |       |          |                            |
|                       | AG        | 5,039,789         | 08/13/91         | Fukuda et al.    |       |          |                            |
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|                       | AM        | 5,965,747         | 10/12/99         | Brands et al.    |       |          |                            |
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|                       | AQ        | 6,017,562         | 01/25/00         | Kaufman et al.   |       |          |                            |
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|                       | AS        | 6,043,303         | 03/28/00         | Kobayashi et al. |       |          |                            |
|                       | AT        | US 20020111311 A1 | 08/15/02         | Govardhan et al. |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |
|-------------------------------------------------------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|
| Examiner Initial                                                  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |
|                                                                   |           |                 |                  |                          |       |          | Yes No      |
|                                                                   | AU        | EP 0 386 951 A2 | 09/12/90         | EP                       |       |          |             |
|                                                                   | AV        | EP 0 511 866 A3 | 11/04/92         | EP                       |       |          |             |

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| Examiner Signature                                                                                                                                                           | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

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| Substitute Form PTO-1449<br>(Modified)                                                                             |  | U.S. Department of Commerce<br>Patent and Trademark Office                                         | Attorney's Docket No.<br>13764-003001 | Application No.<br>10/024,701 |
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| (37 CFR §1.98(b))                                                                                                  |  | Applicant<br>Keith et al.                                                                          |                                       |                               |
|                                                                                                                    |  | Filing Date<br>December 17, 2001                                                                   | Group Art Unit<br>1623                |                               |

| <b>Other Documents (include Examiner, Title, Date, and Place of Publication)</b> |           |                                                                                                                                                                                                                                                                  |
|----------------------------------------------------------------------------------|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Examiner Initial                                                                 | Desig. ID | Document                                                                                                                                                                                                                                                         |
|                                                                                  | AW        | Arima et al., "Surfactin, a crystalline peptidelipid surfactant produced by <i>Bacillus subtilis</i> : isolation, characterization and its inhibition of fibrin clot formation", <i>Biochemical and Biophysical Research Communications</i> 31(3):488-494 (1968) |
|                                                                                  | AX        | Han et al., "Structure of the White-Line-Inducing Principle Isolated from <i>Pseudomonas Reactans</i> ", <i>Acta Cryst. C</i> 48:1965-1968 (1992)                                                                                                                |
|                                                                                  | AY        | Henriksen et al., "Cyclic lipoundecapeptide tensin from <i>Pseudomonas fluorescens</i> strain 96.578", <i>Acta Cryst. C</i> 56:113-115 (2000)                                                                                                                    |
|                                                                                  | AZ        | Marner et al., "Majusculamides A and B, Two Epimeric Lipoptides from <i>Lyngbya majuscula</i> Gomont", <i>J. Org. Chem.</i> 42(17):2815-2819 (1977)                                                                                                              |

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|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| Examiner Signature                                                                                                                                                           | Date Considered |
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